Shinhan Securities

SSV – THE DIFFERENCES BETWEEN THE CURRENT SYSTEM AND THE KRX SYSTEM

<table>
<tr><th>Item</th><th>Current system</th><th>KRX system</th></tr>
<tr><td>1. Market symbol</td><td>- Ho Chi Minh: HOSE/ HSX
- Ha Noi: HNX
- UPCOM (Trading registered stock)
- C-BOND (Listed corporate bonds)</td><td>- Ho Chi Minh: STO
- Ha Noi: STX
- UPX (Trading registered stock)
- HCX (Listed corporate bonds)</td></tr>
<tr><td>2. Trading board</td><td>Do not separate the trading board</td><td>
<table>
<tr><th>Board ID</th><th>Board Name</th><th>Trading Method</th><th>Market ID</th></tr>
<tr><td>G1</td><td>Main</td><td>Morning: 9h-11h30
Afternoon: 13h-14h45</td><td>STO, STX, UPX, HCX</td></tr>
<tr><td>G3</td><td>Post closing</td><td>14h45-15h</td><td>STX (lệnh PLO)</td></tr>
<tr><td>G4</td><td>Odd lot</td><td>Morning 9h-11h30
Afternoon: 13h-14h45</td><td>STO, STX, UPX</td></tr>
<tr><td>T1</td><td>Negotiation (regular)</td><td>Morning: 9h-11h30
Afternoon: 13h-14h45</td><td>STO, STX, UPX, HCX</td></tr>
<tr><td>T3</td><td>Negotiation (post)</td><td>14h45-15h</td><td>STO, STX, HCX</td></tr>
<tr><td>T4</td><td>Negotiaion(Odd lot)</td><td>Morning: 9h-11h30
Afternoon: 13h-14h45</td><td>STO, STX, UPX</td></tr>
<tr><td>T6</td><td>Negotiaion(post odd lot)</td><td>14h45-15h</td><td>STO, STX</td></tr>
</table>
Note: The separation of the trading boards does not affect online trading for customer
</td></tr>
<tr><td>3. Display Stocklist</td><td>ATO/ATC prices are displayed on Stocklist at periodic order matching sessions</td><td>1. ATO/ATC orders will be displayed at a specified price like a limit order according to the following principles:
- If there is only a bid/ask the ATO/ATC order: the displayed price of the ATO/ATC buy/sell order will be the expected matching price.
- If there is a remaining bid/ask of the limit order:</td></tr>
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Item	Current system	KRX system
		+ The displayed price of the ATO/ATC buy order is the highest buying price plus 1 quote unit + The displayed price of the ATO/ATC sell order is the lowest selling price minus 1 additional quoted price unit 2. The displayed volume corresponds to the 3 best remaining bid/ask surplus prices after the expected matching of each security in the periodic order matching session.
4. The priority order	During the ATO/ATC session, if you place an ATO/ATC price order, the ATO/ATC price will have priority over other order types during the order matching process.	During the ATO/ATC session, if the ATO/ATC price is set, the ATO/ATC order volume still participates in the process of determining the order matching price but is not given priority over other orders. For example: ATO session: - Customer A placed an order to buy 100 AAA at ceiling price at 9:01 am - Customer B placed an order to buy 200 AAA at ATO price at 9:02 am According to the principle of price and time priority, customer A's order will have priority over customer B's order
5. Put-through transaction	- Sell orders must be placed in advance and the buyer confirms the order - Allowed to edit/cancel matched orders - Only the buyer can cancel unmatched orders - Unmatched put orders are maintained until the end of trading hours (3pm)	- Sell or buy orders can be placed in advance. - Matched orders cannot be cancelled/edited - Both buyers and sellers can cancel unmatched orders - **- Put-through orders placed at the Negotiation board (T1/T4 Board) that do not match will be automatically cancelled by the SSV system at 2:48 p.m. If you want to re-execute the cancelled order on the T1/T4 board, you need to place on the T3/T6 board.**
6. Edit order	Price and volume can be edited at the same time.	- Price and volume cannot be edited at the same time. At the edit time, you can only edit Price or Volume. - Edit on original order volume - For example: place order with buy volume 1000 STB shares with price 11,000 dong, result: 500 shares is matched The customer wants to edit the order with volume 400 STB and a price 10,800 dong + Current system: Edit the buy volume is 400 STB and price is 10,800 + KRX system: Customer must edit the order 2 times :

Item	Current system	KRX system
		• 1st time: edit Buy volume is **900 STB** , the price is still 11,000 dong • Second time: edit Buy price **10,800 dong** , Buy volume is 900 STB
7. Transactions of foreign investors	- Current Room decreases as soon as foreign investors' buy orders are matched. - Current Room increases after the settlement date when a foreign investor's sell order is matched.	- Current Room decreases as soon as foreign investors' buy orders are entered into the system. - Current Room increases after the settlement date when a foreign investor's sell order is matched.
8. Odd – lot transaction	- Continuous order matching (LO order type) - Put-through order matching	- Periodic order matching (LO order type) - Continuous order matching (LO order type) - Put-through order matching
9. PLO	- Only applies to HNX - Trading time: 14:45 - 15:00 - Trade at the closing price. - Cannot cancel/edit orders.	- Only applies to STX (HNX) - Trading time: 14:45 - 15:00 + 14:45 - 14:55: periodic order matching: order matching at 14:55 + 14:55 - 15:00: continuous order matching (matching when there is a corresponding order) - Trade with the last matched price of the ATC session. - Cannot cancel/modify orders. - Stock codes that do not have a matching price in the main board (G1) are not allowed to place PLO orders
10. PCA (Periodic Call Auction)	- None - Securities that are temporarily suspended cannot be traded or can only be traded according to the time specified by the Exchange.	- Only applied at STO (HOSE). - Securities with restricted trading status (RES) or Controlled and restricted trading (C&R) status can only be traded during the PCA session (Periodic Call Auction Session). - Order type: LO, negotiable transactions and odd lot transactions are not allowed - Transaction time: 09:00 - 14:45 + ATO: 09:00 - 09:15 + PCA-1: 09:15 - 09:30 + PCA-2: 09:30 - 09:45 .... + PCA-n: 2:15 p.m. - 2:30 p.m + ATC: 14:30 - 14:45

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		- Each PCA session is valid for 15 minutes, after 15 minutes a new PCA session begins. - During the first 10 minutes of the session, orders can be cancelled/edited. During the last 5 minutes of the session, orders cannot be cancelled/edited.
11.1 Stop trading by stock code (Instrument halt)	Unable to place orders with the stopped-trading stock codes	Unable to place orders with the stopped-trading stock codes
11.2 Release Instrument halt by stock code (Release Instrument halt)	Continue to place orders with the stock code during the unstopped trading session	- Markets with periodic trading sessions (STO, STX, HCX): + Transfer to the periodic session within 15 minutes: LO orders can only be placed, orders cannot be edited/cancelled + After 15 minutes periodically, stock codes are transferred to continuous order matching sessions: LO/market order types can be placed and orders can be edited/cancelled. - Stock code of the market without a regular trading session (UPX): in continuous session: place LO order and cancel/edit
12.1 Stop trading the entire market (Market halt)	Unable to place orders with all stock of the stopped-trading market	Unable to place orders with all stock of the stopped-trading market
12.2 Release Market halt	Continue to place orders in the release trading session	- Markets with periodic trading sessions (STO, STX, HCX): + Transfer to the periodic session within 15 minutes: LO orders can only be placed, orders cannot be edited/cancelled + After 15 minutes periodically, stock codes are transferred to continuous order matching sessions: LO/market order types can be placed and orders can be edited/cancelled. - The market does not have a regular session (UPX exchange): in the continuous session: place an LO order and can cancel/edit